

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Certa et al

Group:

1645

Serial No. 09/994,412, filed November 27, 2001

Examiner:

For:

INHIBITION OF EXPRESSION OF A TARGET GENE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Nutley, New Jersey 07110 August 21, 2002

Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents A1, B3-B4 and C4 listed on Form PTO-1449 are enclosed.

Enclosed is a Search Report, dated July 5, 2002, which was issued by the UK Patent Office in GB 0128494.2 application corresponding to the captioned application. The Search Report lists Documents A1, B3-B4 and C4.

Consideration of all the documents cited on Form PTO-1449 is requested.

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Since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits or within three months of the filing date for the above application and/or because each item of information contained in the Information Disclosure Statement was cited in a communication for the first time from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement, no fee is due.

Respectfully submitted,

Agent for Applicant(s)

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STATEMENT BY APPLICANT 6 2 6 2002 (Use several sheets if necessary)				Application Number 09/994		994,412		
				Filing Date		November 27, 2001		
				First Name Inventor		rta, Ulrich		
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	T	U.S. Patent Document		DOCOMENTS				
Examiner Initials'	Cite No.1	Number (if known)		Name of Patentee or Applicant of Cited Document		C	Date of Publication of Cited document MM-DD-YYYY	
	A1	5,814,482		Dubensky Jr., et al			9-1998	
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Examiner Initials'	Cite No.1	Foreign Patent Doct Office ³ Number ⁴	Kind Code	Applicant of Cited Doci	ument	Date of Pub Cited Do MM-DD	cument -YYYY	T6
	B2	WO 00/68374		Novartis-Erfindun		11/16/20	00	
	B3	WO 99/61631		Novartis-Erfindun	gen	12/2/199	9	
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1 Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation or abstract is attached.

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Substitute for form 1449A/PTO	Complete if Known				
STATEMENT BY APPLICANT	Application Number	09/994,412			
STATEMENT BY APPLICANT	Filing Date	November 27, 2001			
	First Name Inventor	Certa, Ulrich			
(Use several sheets if necessary)	Group Art Unit	1645			
	Examiner Name				
Sheet 2 2	Attorney Docket Number	20787			

NON PATENT LITERATURE DOCUMENTS

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Examiner Initials'	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	C4	POWERS, A. M., ET AL, P.N.A.S. Vol. 93, (1996), pgs. 4187-4191	┪
			
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